



**GCL New Energy Holdings Limited**  
協鑫新能源控股有限公司  
(incorporated in Bermuda with limited liability)  
(Stock Code: 451)

## **SHAREHOLDERS' COMMUNICATION POLICY**

### **1. Introduction**

GCL New Energy Holdings Limited 協鑫新能源控股有限公司 (“**GCL New Energy**”) highly regards the importance of dialogue between the board of directors (the “**Board**”) and shareholders. The Board is committed to provide open, equal and timely access of corporate information to its shareholders and investment public.

### **2. General Policy**

- 2.1 Maintain dialogue with shareholders and the investment public. Regular review of this policy by the corporate governance committee to ensure its effectiveness.
- 2.2 Timely dispatch full and accurate corporate information to shareholders and investment public by announcement, financial reports and circulars to be released through the website of The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) and the corporate website.
- 2.3 Financial information and business related matters can also be communicated to the shareholders by convening annual general meeting and general meetings.

### **3. Communication Strategies**

#### **3.1 Direct Communication Channel from Shareholders**

3.1.1 Shareholders may send by post any questions or opinions to the Board or committees (the “**Committees**”) of the Company to the principal place of business of GCL New Energy in Hong Kong care of the company secretary (the “**Company Secretary**”) of the Company.

3.1.2 The Company Secretary will review the correspondence from the shareholders, determine the appropriateness and to whom it should be directed. The Company Secretary and the chairman of the Board or the chairmen of the Committees, where appropriate, will consider each request and determine how to proceed.

## **3.2 Corporate Communications**

3.2.1 GCL New Energy will issue Corporate Communications\* from time to time to give the updated financial and business information to the shareholders and the investment public.

## **3.3 General Meetings**

3.3.1 Shareholders (or if they are unable to attend, proxy who represented the shareholders) are encouraged to attend the general meetings of GCL New Energy.

3.3.2 Appropriate arrangements for the general meetings shall be in place to encourage shareholders to participate.

3.3.3 Board members, the chairmen of the committees or their delegates and appropriate executives will attend the annual general meetings.

3.3.4 The Board will invite the external auditor to attend the annual general meeting to answer any questions raised by the shareholders in relation to the audit or the auditor's report on the financial statements.

3.3.5 Shareholders are encouraged to raise their concern or disclose their opinions to the Board at the general meetings.

## **3.4 Corporate Website**

3.4.1 Shareholders and the investment public are invited to visit the corporate website of GCL New Energy at [www.gclnewenergy.com](http://www.gclnewenergy.com) for its corporate information.

3.4.2 Announcement and Corporate Communications will be posted to the website of the Stock Exchange and then upload to the corporate website.

3.4.3 Other corporate information, such as press release and the financial information under the investors section, will also be provided in the corporate website.

3.4.4 The design and information in the corporate website will be reviewed and updated regularly.

## **3.5 Investment Market Communications**

3.5.1 Press release will be issued and uploaded to the corporate website from time to time to ensure that shareholders and the investment public to obtain the latest development, business as well as the performance of the Group.

3.5.2 Communication with analysts, fund managers, institutional shareholders and media via regular meetings, presentations and conferences to keep the investment public understanding of the business development of GCL New Energy, while keeping the stringent standard of not disclosing price sensitive information to a selective group.

*\*Corporate Communications includes financial reports (whether annual, interim and/or quarter), announcements, circulars, notice of meetings and proxy forms.*